

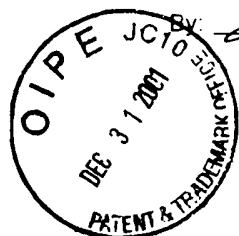
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box: Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231,

PATENT

on November 28, 2001

Atty. Docket No. DX0757K

CN 028008



Lois E. Miller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph A. HEDRICK, et al.

Serial No.: 09/910,695

Filed: July 20, 2001

For: MAMMALIAN CHEMOKINES;  
RECEPTORS; REAGENTS; USES

Examiner: not yet assigned

Art Unit: 1646

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL  
APPLICATION - FILING DATE GRANTED

Palo Alto, California 94304

Nov. 28, 2001

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on September 28, 2001, enclosed are the following to be made of record in the above-identified application:

1. Declaration and Power of Attorney signed by inventors Joseph A. Hedrick, Bernhard Homey, Alain Vicari, Monica Zepeda and Albert Zlotnik, (signed in counterpart), in compliance with 37 CFR 1.63; and,
2. A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted";

Please charge the total of \$1326.00, as calculated below, to DNAX Research Institute Deposit account no. 04-1239:

Fee for filing Missing Parts of Application		
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	36 - 20 =	16*
INDEP CLAIMS	5 - 3 =	2*
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.		

	\$ 130.00
RATE	FEE
	\$ 740.00
x18=	\$ 288.00
x84=	\$ 168.00
+250=	\$ 000
TOTAL	\$1326.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

Please telephone the undersigned at (650) 496-6400, if there are any questions. This form is being submitted in duplicate.

Respectfully submitted,

Nov. 28, 2001

By: Sheela Mohan Peterson  
Sheela Mohan Peterson  
Attorney for Applicants  
Reg. No. 41,201

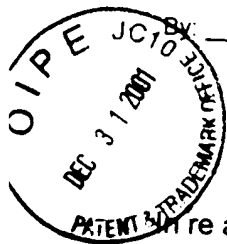
DNAX Research Institute  
901 California Avenue  
Palo Alto, California, 94304-1104  
Tel. No. (650) 852-9196  
Fax No.: (650) 496-1200

Enclosures:

- 1) Copy of this Transmittal (in duplicate)
- 2) Declaration and Power of Attorney signed by inventors
- 3) Certificate of Mailing
- 4) Return postcard

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box: Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

on November 28, 2001



Lois E. Miller

Lois E. Miller

COPY OF PAPERS  
ORIGINALLY FILED

Atty. Docket No. DX0757K

CN 028008

PATENT  
TECH CENTER 1600/2900  
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Joseph A. HEDRICK, et al.

Serial No.: 09/910,695

Filed: July 20, 2001

For: MAMMALIAN CHEMOKINES;  
RECEPTORS; REAGENTS; USES

Examiner: not yet assigned

Art Unit: 1646

REQUEST FOR CORRECTION OF  
FILING RECEIPT

Palo Alto, California 94304

Nov. 28, 2001

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request correction of the official filing receipt (copy attached) in the above-identified patent application.

Please correct our Attorney Docket Number, it should read: DX0757K.

Applicants will anticipate a corrected filing receipt. If there is a problem with this request, please contact the undersigned. Applicants do not believe there is a fee required for this correction; however, if such a fee is required the Commissioner is hereby authorized to charge DNAX Research Institute Deposit account no. 04-1239.

Respectfully submitted,

Date: Nov. 28, 2001  
DNAX Research Institute  
901 California Avenue  
Palo Alto, CA 94304-1104  
Tel: (650) 496-1244  
Fax: (650) 496-6400

By:

Sheela Mohan-Peterson  
Sheela Mohan-Peterson  
Attorney for Applicant(s)  
Registration No. 41,201

COPY OF  
ORIGINAL

UNITED STATES PATENT AND TRADEMARK OFFICE

 RECEIPT  
 DX0757K  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/910,695 ✓	07/20/2001 ✓	1646	0.00	DX075K	11 ✓	36 ✓	5 ✓

 Sheela Mohan-Peterson, Esq.  
 DNAX Research Institute  
 901 California Avenue  
 Palo Alto, CA 94304-1104

 COPY OF PAPERS  
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 CONFIRMATION NO. 2825  
 FILING RECEIPT  
 \*OC000000006808553\*

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 JAN 24 2002  
 SERVICE CENTER 1600/2900

Date Mailed 09/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 Joseph A. Hedrick, Plainsboro, NJ; ✓  
 Bernhard Homey, Palo Alto, CA; ✓  
 Alain Vicari, Lyon, FRANCE; ✓  
 Monica Zepeda, San Diego, CA; ✓  
 Albert Zlotnik, Palo Alto, CA; ✓
**Domestic Priority data as claimed by applicant**
 THIS APPLICATION IS A CIP OF 09/122,585 07/24/1998 ✓  
 WHICH CLAIMS BENEFIT OF 60/053,693 07/25/1997 ✓
**Foreign Applications**

If Required, Foreign Filing License Granted 09/27/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No ✓

Early Publication Request: No ✓

**Title**

Mammalian chemokines; receptors; reagents; uses ✓

RECEIVED

OCT 10 2001

Patent Department

 COPIED FOR S-P ON  
 DATE: OCT 11 2001

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

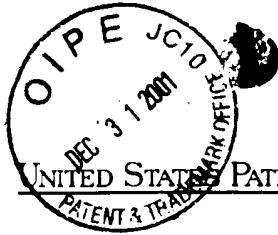
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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Page 1 of 1  
0300

DX0757K

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,695	07/20/2001	Joseph A. Hedrick	DX075K

CONFIRMATION NO. 2825

FORMALITIES LETTER



\*OC000000006808554\*

Sheela Mohan-Peterson, Esq.  
DNAX Research Institute  
901 California Avenue  
Palo alto, CA 94304-1104

Date Mailed: 09/28/2001

*Response due  
11-28-01*

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$448.
  - \$288 for 16 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1288.**

02/04/2002 SSANDARA 00000018 09910695

01 FC:101	740.00 CH
02 FC:102	160.00 CH
03 FC:103	288.00 CH
04 FC:105	130.00 CH

*A copy of this notice MUST be returned with the reply.*

*PA*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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DATE